

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
Ic@lahcoc.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
FAUSTINO A. LICHAUCO
DAVID A. LANE, JR.
JEANNE M. DIGIORGIO
DEBRA J. MILASINCIC, Ph.D.
NICHOLAS P. TRIANO, III

TIMOTHY J. DOURC WILLIAM D. DeVAUL CATHERINE J. KARA, Ph.D

SENIOR COUNSEL.
W. HUGO LIEPMANN
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.
PETER C. LAURO \*
JOHN L. WELCH
DEANN FORAN SMITH\*\*

\* Admitted in NY only \*\* Admitted in MI only PATENT AGENTS
CHI SUK KIM
MEGAN E. WILLIAMS, Ph.D.
REZA MOLLAAGHABABA, Ph.D.
DAVID J. RIKKERS

ERICK B. BERGLUND, Ph.D.

RECEIVED

DEC 13 1999

Group 2700

TECHNICAL SPECIALISTS

MARIA LACCOTRIPE ZACHARAKIS, Ph.D.
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
JENNIFER L. ELLIOTT, Ph.D.
LAUREN C. FOSTER, D.Sc.
EUIHOON LEE

December 6, 1999

Assistant Commissioner for Patents Washington, D.C. 20231

.

Re: U.S. Patent Application No.: 09/336,229

Device for Performing IP Forwarding and ATM Switching

Inventors: Steven R. Willis

Filed: June 18, 1999

Our Ref. No.: AGM-005

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449;
- 3. Full copies of references cited in PTO Form 1449;
- 4. A copy of the International Search Report; and
- 5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

| 12 | 6 | 9 |
| Date | Date | Commissioner for Patents, Washington, D.C. 20231 on:

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Kevin J. Canning

Registration No. 35,470 Attorney for Applicant

#4



## IN THE UNITED STATES PATENT AND TRADEMARK-OFFICE

In re the application of: Steven R. Willis

Serial No.: 09/336,229

Filed: June 18, 1999

For: Device for Performing IP Forwarding and ATM

Switching

Attorney Docket No.: AGM-005

Group Art Unit: 2731

Examiner:

RECEIVED
DEC 1 3 1999
Group 2700

Assistant Commissioner for Patents Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

12/6/99

Date of Signature and of Mail Deposit

By:

Kevin J. Canning

Meun

Registration No. 35,470 Attorney for Applicants

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant and his attorney have become aware of the following publications and information, cited in an International Search Report issued October 12, 1999 during the prosecution of PCT/US99/13857 which corresponds to the above referenced application, and in accordance with 37 CFR §1.97(c) and (e)(1) or (b3), hereby submit these publications for the Examiner's consideration. These publications are cited on the enclosed PTO Form 1449, and a copy of the Report and each publication cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicant understands that the Examiner will make an independent evaluation of the cited publications.

Under 37 C.F.R. § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR 1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Kevin J. Canning

Registration No. 35,470 Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: December 6, 1999

KJC/ipc